PTO-1390 (Rev. 12-2004)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER

28193/24642

U.S. APPRICATION NO. (JE known, see-37 CFR 1.5)

CO	NCERNING A SUBMISSI	ON UNDER 35 U.S.C. 371	10/51930/							
INTERN	ATIONAL APPLICATION NO. PCT/GB03/02683	INTERNATIONAL FILING DATE 23 June 2003	PRIORITY DATE CLAIMED 21 June 2002							
TITLE O	TITLE OF INVENTION Light Beam Shaping Apparatus									
APPLICA	APPLICANT(S) FOR DO/EO/US Peter WYNNE WILLSON									
Applican	t herewith submits to the United Sta	ates Designated/Elected Office (DO/EO/U	S) the following items and other information:							
1. x	1. x This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.									
2.	2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.									
3. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4.	. The US has been elected (Article 31).									
5. x	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))									
a.	a. x is attached hereto (required only if not communicated by the International Bureau).									
b.	x has been communicated by the International Bureau.									
C.	is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.	An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).									
a.	is attached hereto.									
b.	has been previously submitted under 35 U.S.C. 154(d)(4).									
7. x	x Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))									
a.	are attached hereto (required only if not communicated by the International Bureau).									
b.	have been communicated by the International Bureau.									
C.	have not been made; however, the time limit for making such amendments has NOT expired.									
d.	x have not been made and will not be made.									
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).									
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).									
10.	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).									
Items 1	1 to 20 below concern docum	nent(s) or information included:								
11. x	An Information Disclosure State	ment under 37 CFR 1.97 and 1.98.								
12.	An assignment document for reco	ording. A separate cover sheet in complia	nce with 37 CFR 3.28 and 3.31 is included.							
13. x	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15.	A substitute specification.									
16.	A power of attorney and/or change of address letter.									
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.									
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. x	Other items or information: Inte	ernational Search Report; return receipt p	ostal card							

## DT09 Rec'd PCT/PTO 2 1 DEC 2004

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U.S. APPLICATION	NOTif	0751	93	07	INTERNATIONAL AI	PPLICATION N GB03/026		ATTORN	EY'S DOCKET NU 28193/2	
21. The foll	owing	fees are su	bmitted	:						
x a) Basic national fee\$300.00 \$ 300.00										
x b) Examination fee\$200.00								\$	200.00	<u> </u>
x c) Search fee\$500.00								\$	500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00							s	1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Number of each additional 50 or fraction					RATE				
- 100 =	- 100 = /50 =				x \$250.00			u :		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).								\$		
CLAIMS		NUMBER I	FILED	NUN	MBER EXTRA	R	ATE	<u> </u>		<del></del>
Total claim	s	12 -	20 =			х		\$	0.00	
Independent cla	aims	2	-3 =			х		\$	0.00	
MULTIPLE DEF	PENDE	NT CLAIM(s)	(if appli	cable)		+		\$		
					TAL OF ABOVE			\$		1,000.00
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.								\$ 500.00		
						SUE	STOTAL =	\$ 500.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).										
TOTAL NATIONAL FEE =								\$ 500.00		
	Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property									
TOTAL FEES ENCLOSED =								\$		500.00
								Amoun refunde		\$
!							•	Amoun charge		\$
a. X A ch	eck in	the amount o	f \$ _		500.00	to cover th	ne above fees	is enclo	sed.	
b. Plea	se cha	arge my Depo	sit Acco	unt No.	•	i	n the amount	of \$		
to co	over th	e above fees.	A dupli	cate co	opy of this sheet i	s enclosed	•			<del></del>
c. x The	Comm	nissioner is he	reby aut	thorize	d to charge any a	additional fe	es which ma	y be requ	ired, or credit	any
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2855 . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
must be filed	an ap	propriate time ranted to resto	ore the l	nternat	CFR 1.495 has n tional Application	to pendir	g status.	o revive	(37 CFR 1.13	/ (a) or (b))
SEND ALL CO	ORRE	SPONDENCE	TO:				1.6.1			
						SIGN	ATURE:	James	P. Zeller	
						NAME				
CUSTOMER NUMBER: 04743 28,491										
	REGISTRATION NUMBER									

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter Wynne Willson	)	For: LIGHT BEAM SHAPING APPARATUS
U.S. National Phase of	j)	
PCT/GB03/02683 filed June 23, 2003	)	Group Art Unit: To be assigned
Filed: Herewith	). )	Examiner: To be assigned
	)	
	)	
	)	

## **CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that the attached five items (a) through (e) are being deposited with the United States Postal Service on December 21, 2004, in a postage-paid envelope addressed to Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV532463799US.

- (a) Transmittal letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. §371;
- (b) Application, including six sheets of description, two sheets of claims, and five sheets of drawings;
- (c) Preliminary Amendment;
- (d) Information Disclosure Statement; and
- (e) International Search Report.

Richard Zimmermann